

05/19/00

05-22-00

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PTO/SB/05 (4/98)

App. for use through 9/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. NAN00-001

First Inventor or Application Identifier Daniel Guinan

Title Multi-Party Electronic Transactions

Express Mail Label No. EK 539 180 029 US

105/11 U.S. PTO
05/19/00 805/515088**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 55]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8] 1

Oath or Declaration [Total Pages 2] 1

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) & 1.33(b).

* Note for items 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 CFR § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 CFR § 1.28).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney by Inventor
(when there is an assignee) (combined with Declaration)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be itemized)
13. ☐ *Small Entity ☐ Statement filed in prior application, Status still proper and desired
14. ☐ Certified copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: Power of Attorney by Assignee; Check for \$ 1,300.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____

Prior application information:

Examiner: _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Daniel E. Vaughan				
	Park & Vaughan LLP				
Address	PATENT TRADEMARK OFFICE				
City	State	Zip Code			
Country	Telephone	650/329-1973	Fax	650/329-1893	

Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199
Signature	<i>Daniel E. Vaughan</i>	Date	May 19, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

For FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SD/09-12.

See 37 C.F.R. §§ 1.27 and 1.28

Complete if Known

Application Number	
Filing Date	May 19, 2000
First Named Inventor	Daniel Guinan
Examiner Name	
Group / Art Unit	
Attorney Docket No.	NAN00-001

TOTAL AMOUNT OF PAYMENT (\$ 1300)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number	50-1003
Deposit Account Name	Park & Vaughan

- ☐ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	690
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1)

(\$ 690)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
43	-20** = 23	X 18	= 414
5	-3** = 2	X 78	= 156
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 570)

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65			Surcharge - late filing fee or oath	
127 50	227 25			Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130			Non-English specification	
147 2520	147 2520			For filing a request for reexamination	
112 920*	112 920*			Requesting publication of SIR prior to Examiner action	
113 1840*	113 1840*			Requesting publication of SIR after Examiner action	
115 110	215 55			Extension for reply within first month	
116 380	216 190			Extension for reply within second month	
117 870	217 435			Extension for reply within third month	
118 1360	218 680			Extension for reply within fourth month	
128 1850	228 925			Extension for reply within fifth month	
119 300	219 150			Notice of Appeal	
120 300	220 150			Filing a brief in support of an appeal	
121 260	221 130			Request for oral hearing	
138 1510	138 1510			Petition to institute a public use proceeding	
140 110	240 55			Petition to revive - unavoidable	
141 1210	241 605			Petition to revive - unintentional	
142 1210	242 605			Utility issue fee (or reissue)	
143 430	243 215			Design issue fee	
144 580	244 290			Plant issue fee	
122 130	122 130			Petitions to the Commissioner	
123 50	123 50			Petitions related to provisional applications	
126 240	126 240			Submission of Information Disclosure Stmt	
581 40	581 40			Recording each patent assignment per property (times number of properties)	40
146 690	246 345			Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345			For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3)

(\$ 40)

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name	Daniel E. Vaughan	Complete (if applicable)	
Signature	<i>Daniel E. Vaughan</i>	Reg. Number	42,199
Date	May 19, 2000	Telephone	650/329-1973

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